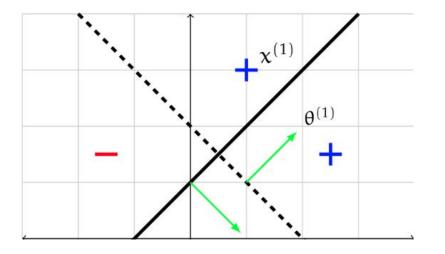
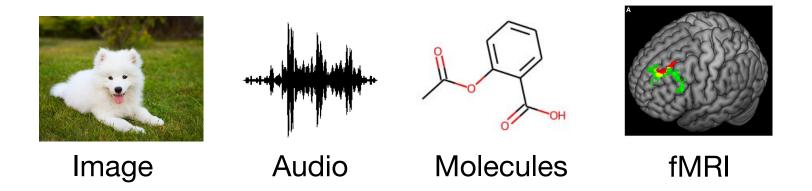
# Introduction to Machine Learning



# Recurrent Neural Networks

#### **Neural Network Architectures**

Inject structural knowledge about the input domain into our neural network.

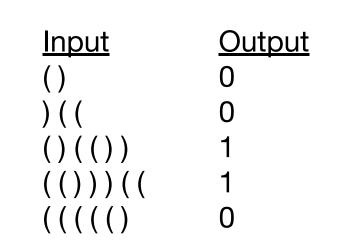


If the neural network is "aware" of input domain structure, then it can learn faster and generalize better.

## **Neural Network Architectures**

- Convolution Neural Networks: for processing data where there is **locality** and **translational invariance**
- Recurrent Neural network architecture tailored for processing sequential data
  - Language
  - Audio
  - Time series data

- 1. Input consists of "(" and ")"
- 2. Detect whether "(())" occurs in the sequence

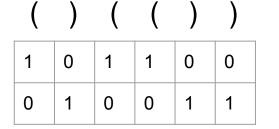


Sequence Classification

1-D convolutions to the rescue!

One-hot representation: (=

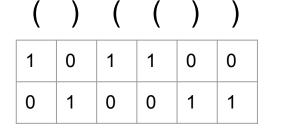
$$\begin{array}{c}
 1 \\
 0
 \end{array}$$
, ) =  $\begin{array}{c}
 0 \\
 1
 \end{array}$ 

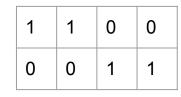


1-D convolutions to the rescue!

One-hot representation: (=

$$\begin{array}{c}
 1 \\
 0
 \end{array}$$
, ) =  $\begin{array}{c}
 0 \\
 1
 \end{array}$ 

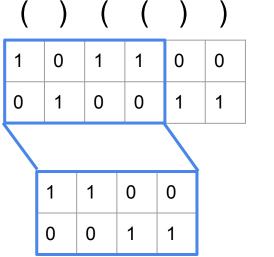




Convolutional filter

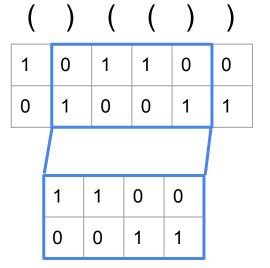
1-D convolutions to the rescue!

One-hot representation:  $(= \begin{array}{c} 1 \\ 0 \end{array}, ) = \begin{array}{c} 0 \\ 1 \end{array}$ 



1-D convolutions to the rescue!

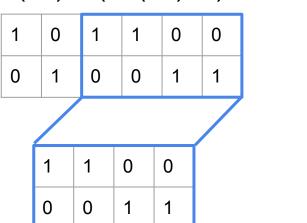
One-hot representation:  $(= \begin{array}{c} 1 \\ 0 \end{array}, ) = \begin{array}{c} 0 \\ 1 \end{array}$ 





1-D convolutions to the rescue!

One-hot representation: 
$$(= \begin{bmatrix} 1 \\ 0 \end{bmatrix}, ) = \begin{bmatrix} 0 \\ 1 \end{bmatrix}$$



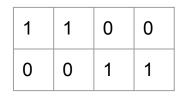
1	1	4
---	---	---

1-D convolutions to the rescue!

One-hot representation: 
$$(= \begin{bmatrix} 1 \\ 0 \end{bmatrix}, ) = \begin{bmatrix} 0 \\ 1 \end{bmatrix}$$

1	0	1	1	0	0
0	1	0	0	1	1





Max-pooling over time

1-D convolutions to the rescue!

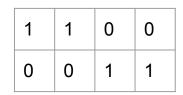
One-hot representation:  $(= \begin{bmatrix} 1 \\ 0 \end{bmatrix}, ) = \begin{bmatrix} 0 \\ 1 \end{bmatrix}$ 

•	,	•	•	,	,
1	0	1	1	0	0
0	1	0	0	1	1

1	1	4	
---	---	---	--

"1" if output after max pooling >= 4

(	(	)	(	(	(	)	)	)	(
1	1	0	1	1	1	0	0	0	1
0	0	1	0	0	0	1	1	1	0



(	(	)	(	(	(	)	)	)	(
									1
0	0	1	0	0	0	1	1	1	0

1	1	0	0
0	0	1	1

	3	1	1	3	4	3	1	
--	---	---	---	---	---	---	---	--

(	(	)	(	(	(	)	)	)	(
								0	
0	0	1	0	0	0	1	1	1	0

1	1	0	0
0	0	1	1

4

Max-pooling over time

(	(	)	(	(	(	)	)	)	(
1	1	0	1	1	1	0	0	0	1
0	0	1	0	0	0	1	1	1	0

# 1D convolutions are great for detecting **local** patterns that are **translation invariant**



4

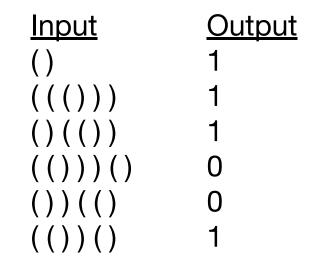
Max-pooling over time

**Bounded Parenthese Problem:** 

- 1. Input: consists of "(" and ")"
- 2. Every string has to have an equal number of "(" and ")"
- Every string has to have a prefix where there are at least as many "(" as ")"

**Bounded Parenthese Problem:** 

- 1. Input: consists of "(" and ")"
- 2. Every string has to have an equal number of "(" and ")"
- Every string has to have a prefix where there are at least as many "(" as ")"



## Harder Sequence Classification

- We need to detect **global** vs **local** patterns

# 

- Things are **not** translation invariant

()(()) (()) (

- Deeper convolution layers may work, but doesn't feel like the right architecture.

#### **State Machines**

 $(S, X, Y, s_0, f, q)$ 

- *S* is a finite or infinite set of possible states;
- X is a finite or infinite set of possible inputs;
- *Y* is a finite or infinite set of possible outputs;
- $s_0 \in S$  is the initial state of the machine;
- f : S × X → S is a *transition function*, which takes an input and a previous state and produces a next state;
- $g : S \to Y$  is an *output function*, which takes a state and produces an output.

#### State Machines

$$(S, X, Y, s_0, f, g)$$

Initial state S<sub>0</sub>

$$s_t = f(s_{t-1}, x_t)$$
 Update state with current input  
 $y_t = g(s_t)$  (Optional) Produce an output

$$s_t = f(s_{t-1}, x_t) = f(W^{sx}x_t + W^{ss}s_{t-1})$$

 $S_t$  is a two dimensional vector

Count of "(" minus count of ")" Minimum of the above metric across time steps  $s_0 = \begin{bmatrix} 0 \\ 0 \end{bmatrix}$ 

$$s_t = f(s_{t-1}, x_t) = f(W^{sx}x_t + W^{ss}s_{t-1})$$

 $S_t$  is a two dimensional vector

Count of "(" minus count of ")" Minimum of the above metric across time steps  $s_0 = \begin{bmatrix} 0 \\ 0 \end{bmatrix}$ 

Claim: if  $S_t$  is the zero vector after processing all the inputs, then it is a bounded parenthese string

$$S_{t} = \begin{bmatrix} 0 \\ 0 \end{bmatrix} \quad \begin{array}{c} \text{Count of "(" minus count of ")"} \\ \text{Minimum of the above metric across time steps} \\ ( ( ( ) ) ) ) ( ) ( ) \\ \begin{bmatrix} 0 \\ 0 \end{bmatrix} \begin{bmatrix} 1 \\ 0 \end{bmatrix} \begin{bmatrix} 2 \\ 0 \end{bmatrix} \begin{bmatrix} 3 \\ 0 \end{bmatrix} \begin{bmatrix} 2 \\ 0 \end{bmatrix} \begin{bmatrix} 1 \\ 0 \end{bmatrix} \begin{bmatrix} 0 \\ 0 \end{bmatrix} \begin{bmatrix} 1 \\ 0 \end{bmatrix} \begin{bmatrix} 0 \\ 0 \end{bmatrix} \begin{bmatrix} 1 \\ 0 \end{bmatrix} \begin{bmatrix} 0 \\ 0 \end{bmatrix} \begin{bmatrix} 1 \\ 0 \end{bmatrix} \begin{bmatrix} 0 \\ 0 \end{bmatrix} \begin{bmatrix} 1 \\ 0 \end{bmatrix} \begin{bmatrix} 0 \\ 0 \end{bmatrix} \begin{bmatrix} 1 \\ 0 \end{bmatrix} \begin{bmatrix} 0 \\ 0 \end{bmatrix} \begin{bmatrix} 1 \\ 0 \end{bmatrix} \begin{bmatrix} 0 \\ 0$$

$$S_{t} = \begin{bmatrix} 0 \\ 0 \end{bmatrix} \quad \begin{array}{c} \text{Count of "(" minus count of ")"} \\ \text{Minimum of the above metric across time steps} \\ ( ( ( ( ) ) ) ) ) ( ( ) ( ) \\ \begin{bmatrix} 0 \\ 0 \end{bmatrix} \begin{bmatrix} 1 \\ 0 \end{bmatrix} \begin{bmatrix} 2 \\ 0 \end{bmatrix} \begin{bmatrix} 3 \\ 0 \end{bmatrix} \begin{bmatrix} 2 \\ 0 \end{bmatrix} \begin{bmatrix} 1 \\ 0 \end{bmatrix} \begin{bmatrix} 0 \\ 0 \end{bmatrix} \begin{bmatrix} 1 \\ 0 \end{bmatrix} \begin{bmatrix} 0 \\ 0 \end{bmatrix} \begin{bmatrix} 1 \\ 0 \end{bmatrix} \begin{bmatrix} 0 \\ 0 \end{bmatrix} \begin{bmatrix} 1 \\ 0 \end{bmatrix} \begin{bmatrix} 0 \\ 0 \end{bmatrix} \begin{bmatrix} 1 \\ 0 \end{bmatrix} \begin{bmatrix} 0 \\ 0 \end{bmatrix} \begin{bmatrix} -1 \\ -1 \end{bmatrix} \begin{bmatrix} 0 \\ -1 \end{bmatrix} \begin{bmatrix} 1 \\ -1 \end{bmatrix} \begin{bmatrix} 0 \\ -1 \end{bmatrix} \begin{bmatrix}$$

$$s_{t} = f(s_{t-1}, x_{t}) = f_{1}(W^{sx}x_{t} + W^{ss}s_{t-1})$$
$$W^{sx} = \begin{bmatrix} 1 & -1 \\ 0 & 0 \end{bmatrix} \qquad W^{ss} = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}$$

$$f_1\left(\begin{bmatrix}a\\b\end{bmatrix}\right) = \begin{bmatrix}a\\\min(a,b)\end{bmatrix}$$

$$s_{t} = f(s_{t-1}, x_{t}) = f_{1}(W^{sx}x_{t} + W^{ss}s_{t-1})$$
$$s_{t} = f_{1}\left(\begin{bmatrix}1 & -1\\0 & 0\end{bmatrix}x_{t} + \begin{bmatrix}1 & 0\\0 & 1\end{bmatrix}s_{t-1}\right) \qquad f_{1}\left(\begin{bmatrix}a\\b\end{bmatrix}\right) = \begin{bmatrix}a\\\min(a, b)\end{bmatrix}$$

( ( ( ) ) )

 $x_t$ 

 $s_t$ 

$$s_t = f(s_{t-1}, x_t) = f_1(W^{sx}x_t + W^{ss}s_{t-1})$$
$$s_t = f_1\left(\begin{bmatrix} 1 & -1\\ 0 & 0 \end{bmatrix} x_t + \begin{bmatrix} 1 & 0\\ 0 & 1 \end{bmatrix} s_{t-1}\right) \qquad f_1\left(\begin{bmatrix} a\\ b \end{bmatrix}\right) = \begin{bmatrix} a\\ \min(a, b) \end{bmatrix}$$

( ( ( ) ) )

 $x_t$ 

$$s_t \begin{bmatrix} 0 \\ 0 \end{bmatrix}$$

$$s_t = f(s_{t-1}, x_t) = f_1(W^{sx}x_t + W^{ss}s_{t-1})$$
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$$egin{array}{cccc} & (& (& (& )) & ) \ x_t & & \begin{bmatrix} 1 \\ 0 \end{bmatrix} & \ s_t & \begin{bmatrix} 0 \\ 0 \end{bmatrix} \begin{bmatrix} 1 \\ 0 \end{bmatrix} \begin{bmatrix} 2 \\ 0 \end{bmatrix} & \ \end{array}$$

$$s_t = f(s_{t-1}, x_t) = f_1(W^{sx}x_t + W^{ss}s_{t-1})$$
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$$egin{array}{cccc} & (& (& (& ) & ) \ x_t & & egin{bmatrix} 1 \ 0 \end{bmatrix} egin{bmatrix} 2 \ 0 \end{bmatrix} egin{bmatrix} 3 \ 0$$

$$s_{t} = f(s_{t-1}, x_{t}) = f_{1}(W^{sx}x_{t} + W^{ss}s_{t-1})$$
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$$egin{array}{cccc} & (& (& (& ) & ) \ x_t & & egin{bmatrix} 1 \ 0 \end{bmatrix} egin{bmatrix} 1 \ 0$$

$$s_{t} = f(s_{t-1}, x_{t}) = f_{1}(W^{sx}x_{t} + W^{ss}s_{t-1})$$
$$= f_{1}\left(\begin{bmatrix} 1 & -1 \end{bmatrix} x_{t} + \begin{bmatrix} 1 & 0 \end{bmatrix} x_{t}\right) = f_{1}\left(\begin{bmatrix} a \end{bmatrix} \right) = \begin{bmatrix} a \\ a \end{bmatrix}$$

$$s_t = f_1 \left( \begin{bmatrix} 1 & -1 \\ 0 & 0 \end{bmatrix} x_t + \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix} s_{t-1} \right) \qquad f_1 \left( \begin{bmatrix} a \\ b \end{bmatrix} \right) = \begin{bmatrix} a \\ \min(a, b) \end{bmatrix}$$

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$$\begin{array}{c} \left(\begin{array}{c} \left(\begin{array}{c} \left(\begin{array}{c} \left(\begin{array}{c} \right) \end{array}\right) \end{array}\right) \\ x_t \end{array}\right) \\ x_t \end{array} \\ \left[\begin{array}{c} 1 \\ 0 \end{array}\right] \left[\begin{array}{c} 1 \\ 0 \end{array}\right] \left[\begin{array}{c} 1 \\ 0 \end{array}\right] \left[\begin{array}{c} 0 \\ 1 \end{array}\right] \\ s_t \end{array} \\ \left[\begin{array}{c} 0 \\ 0 \end{array}\right] \left[\begin{array}{c} 1 \\ 0 \end{array}\right] \left[\begin{array}{c} 2 \\ 0 \end{array}\right] \left[\begin{array}{c} 3 \\ 0 \end{array}\right] \left[\begin{array}{c} 2 \\ 0 \end{array}\right] \left[\begin{array}{c} 0 \\ 0 \end{array}\right] \left[\begin{array}{c} 1 \\ 0 \end{array}\right] \left[\begin{array}{c} 0 \\ 0 \end{array}\right] \\ \left[\begin{array}{c} 0 \\ 0 \end{array}\right] \left[\begin{array}{c} 0 \\ 0 \end{array}\right] \left[\begin{array}{c} 0 \\ 0 \end{array}\right] \\ \left[\begin{array}{c} 0 \\ 0 \end{array}\right] \\ \left[\begin{array}{c} 0 \\ 0 \end{array}\right] \left[\begin{array}{c} 0 \\ 0 \end{array}\right] \left[\begin{array}{c} 0 \\ 0 \end{array}\right] \\ \left[\begin{array}{c} 0 \\ 0 \end{array}\right] \\ \left[\begin{array}{c} 0 \\ 0 \end{array}\right] \left[\begin{array}{c} 0 \\ 0 \end{array}\bigg] \left[\begin{array}{c} 0 \\\bigg] \left[\begin{array}{c} 0 \end{array}\bigg] \left[\begin{array}{c} 0 \end{array}\bigg]$$

$$s_t = f(s_{t-1}, x_t) = f_1(W^{sx}x_t + W^{ss}s_{t-1})$$

$$s_t = f_1 \left( \begin{bmatrix} 1 & -1 \\ 0 & 0 \end{bmatrix} x_t + \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix} s_{t-1} \right) \qquad f_1 \left( \begin{bmatrix} a \\ b \end{bmatrix} \right) = \begin{bmatrix} a \\ \min(a, b) \end{bmatrix}$$

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$$s_t = f(s_{t-1}, x_t) = f_1(W^{sx}x_t + W^{ss}s_{t-1})$$

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$$s_t = f(s_{t-1}, x_t) = f_1(W^{sx}x_t + W^{ss}s_{t-1})$$
$$s_t = f_1\left(\begin{bmatrix} 1 & -1\\ 0 & 0 \end{bmatrix} x_t + \begin{bmatrix} 1 & 0\\ 0 & 1 \end{bmatrix} s_{t-1}\right) \qquad f_1\left(\begin{bmatrix} a\\ b \end{bmatrix}\right) = \begin{bmatrix} a\\ \min(a, b) \end{bmatrix}$$

$$s_{t} = f(s_{t-1}, x_{t}) = f_{1}(W^{sx}x_{t} + W^{ss}s_{t-1})$$
$$s_{t} = f_{1}\left(\begin{bmatrix} 1 & -1 \\ 0 & 0 \end{bmatrix} x_{t} + \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix} s_{t-1}\right) \qquad f_{1}\left(\begin{bmatrix} a \\ b \end{bmatrix}\right) = \begin{bmatrix} a \\ \min(a, b) \end{bmatrix}$$

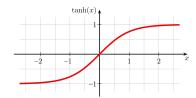
- State machine with learnable parameters

$$s_{t} = f_{1} (W^{sx}x_{t} + W^{ss}s_{t-1} + W_{0}^{ss})$$
$$y_{t} = f_{2} (W^{o}s_{t} + W_{0}^{o})$$

0 1	$W^{sx}:\mathfrak{m} imes\ell$
$\mathbf{x}_{t}: \boldsymbol{\ell} \times 1$	$W^{ss}:\mathfrak{m} imes\mathfrak{m}$
$s_t: m \times 1$	$W_0^{ss}:\mathfrak{m} imes 1$
$y_t: v \times 1$	$W^{o}: \mathfrak{v} \times \mathfrak{m}$
	$W^{\mathbf{o}}_0: \mathbf{v}  imes 1$

- State machine with learnable parameters

$$s_{t} = f_{1} (W^{sx} x_{t} + W^{ss} s_{t-1} + W_{0}^{ss})$$
$$y_{t} = f_{2} (W^{o} s_{t} + W_{0}^{o})$$



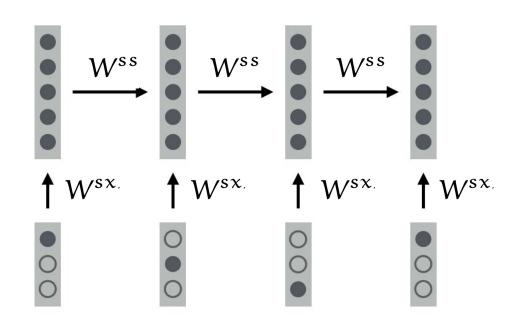
0 1	$W^{sx}:\mathfrak{m} imes\ell$
$\mathbf{x}_{t}: \boldsymbol{\ell} \times 1$	$W^{ss}:\mathfrak{m} imes\mathfrak{m}$
$s_t: m \times 1$	$W_0^{ss}:\mathfrak{m} imes 1$
$y_t: v \times 1$	$W^{o}: v  imes m$
	$W^{\mathbf{o}}_0: \mathbf{v}  imes 1$

f1: non-linear function (e.g., tanh)

f2: depends on output (e.g., softmax if predicting something at each time step)

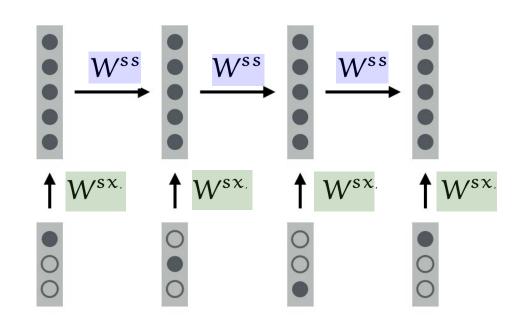
$$s_{t} = f_{1} (W^{sx} x_{t} + W^{ss} s_{t-1} + W_{0}^{ss})$$

 Hidden state is a function of previous hidden state and current input.

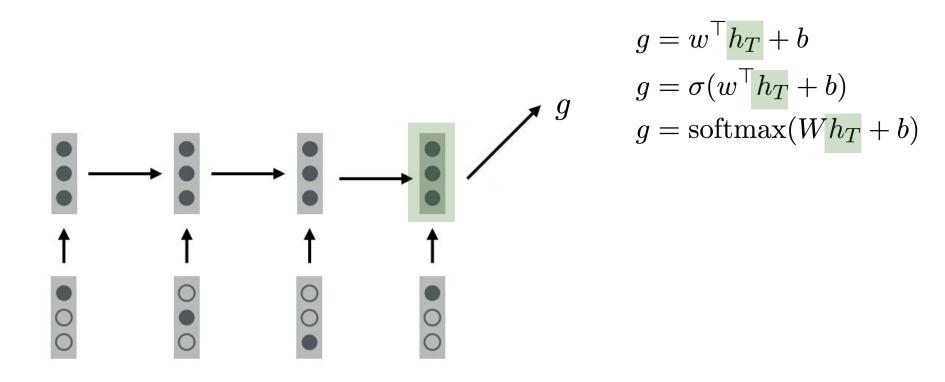


$$\mathbf{s}_{t} = \mathbf{f}_{1} \left( \mathbf{W}^{sx} \mathbf{x}_{t} + \mathbf{W}^{ss} \mathbf{s}_{t-1} + \mathbf{W}^{ss}_{0} \right)$$

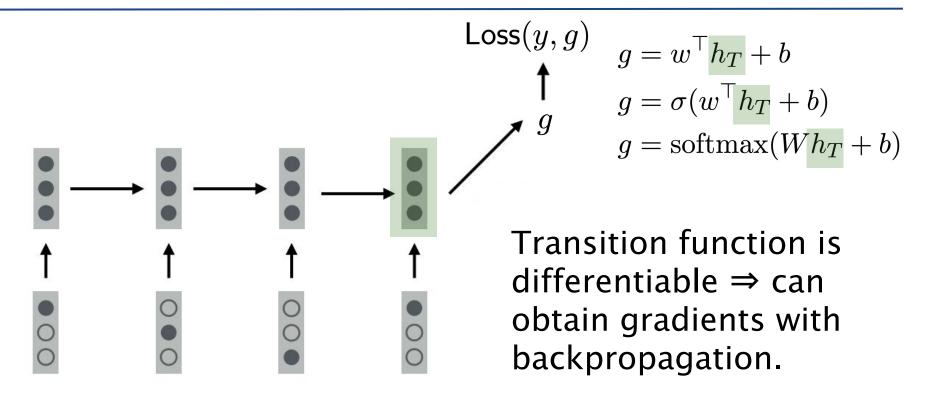
- Hidden state is a function of previous hidden state and current input.
- Same weights at each state ⇒ parameter sharing!



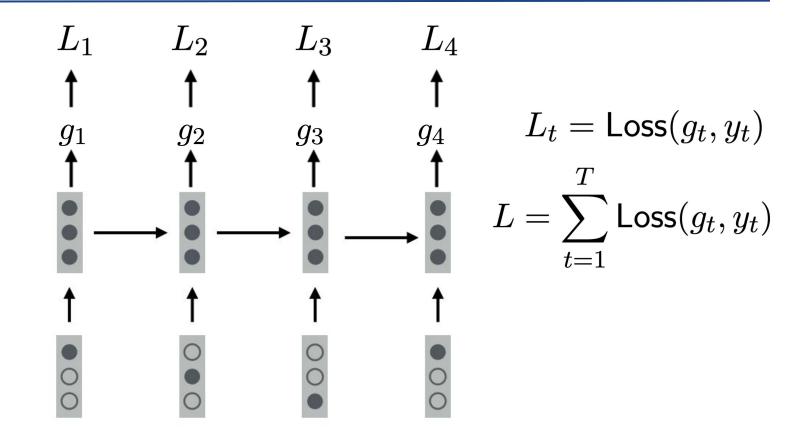
### **RNNs for Sequence Classification**

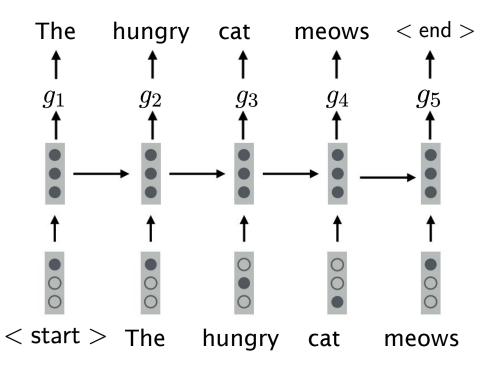


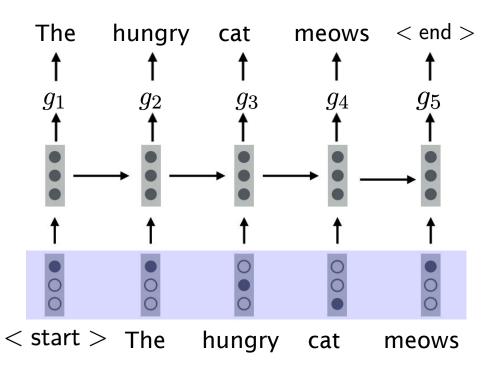
### **RNNs for Sequence Classification**



### **RNNs for Sequence Tagging**







$$s_t = \tanh(W^{sx}x_t + W^{ss}s_{t-1} + W^{ss}_0)$$

One-hot vector with dimension = Vocab size (10K-100K)

Distribution over

words in the vocab

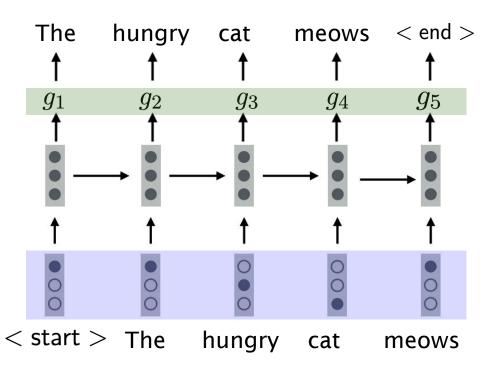
 $s_t = tanh(W^{sx}x_t + W^{ss}s_{t-1} + W^{ss}_0)$ 

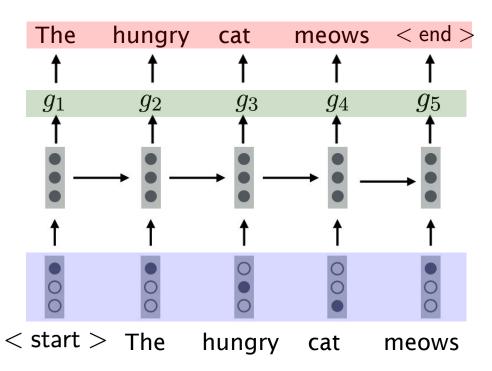
One-hot vector with dimension

 $g_t = \operatorname{softmax}(W^0 s_t + W_1^0)$ 

= Vocab size

(10K-100K)





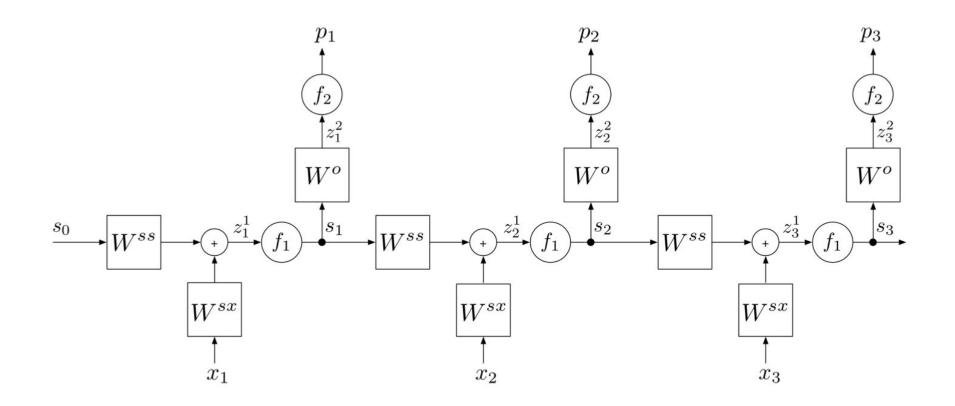
$$L = \sum_{t=1}^{T} \text{Loss}(g_t, x_{t+1})$$
 Total loss = sum over log likelihood

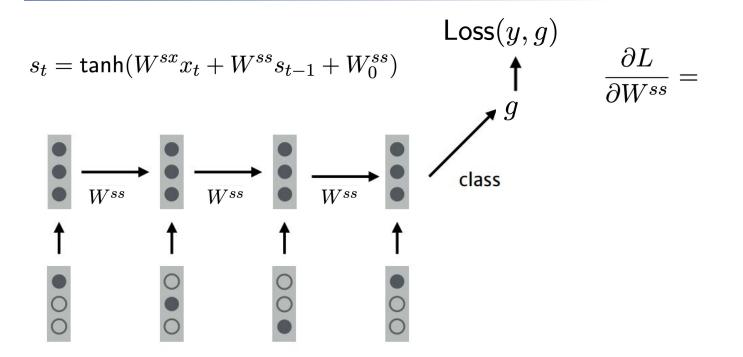


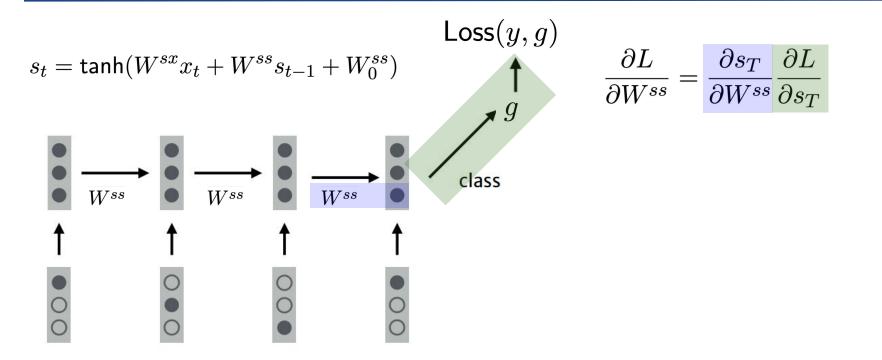
Distribution over

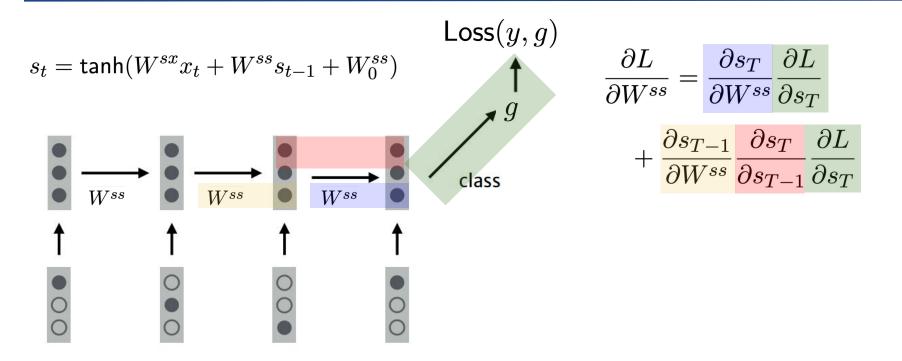
 $g_t = \operatorname{softmax}(W^0 s_t + W_1^0)$  $s_t = tanh(W^{sx}x_t + W^{ss}s_{t-1} + W^{ss}_0)$ 

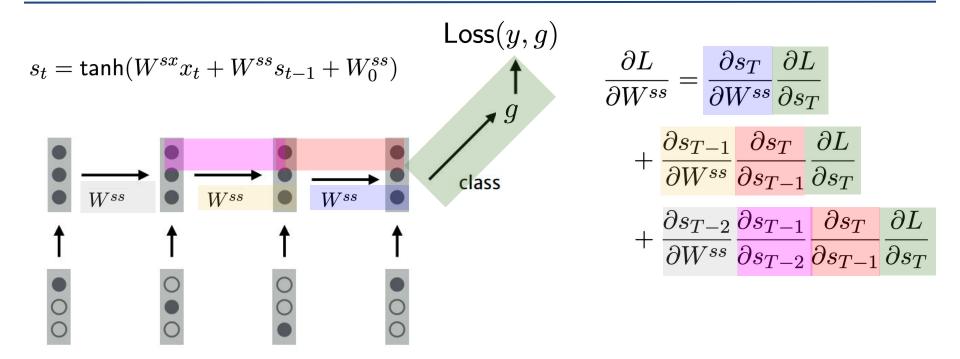
One-hot vector with dimension = Vocab size (10K-100K)

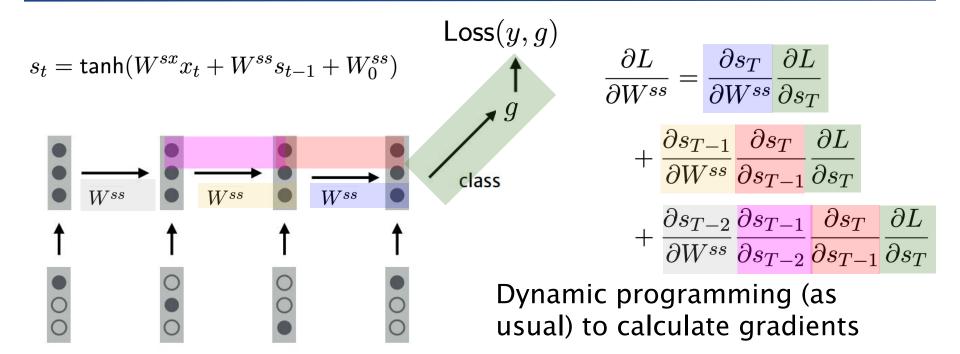




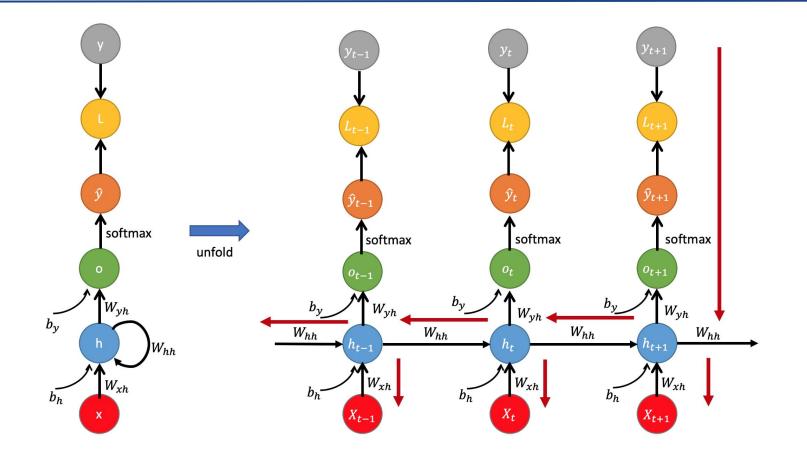




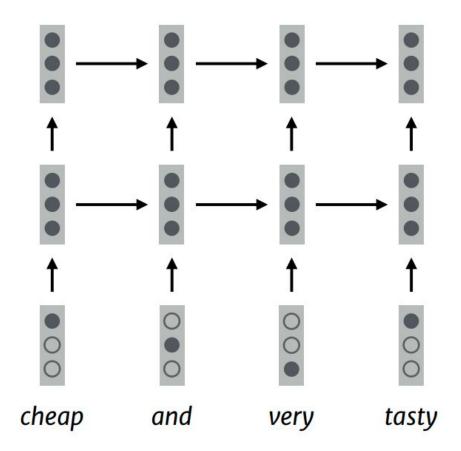




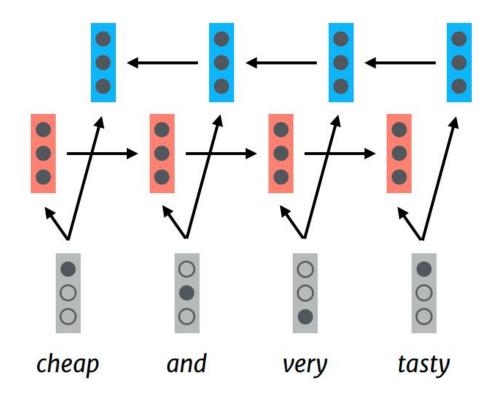
Intuition: like a regular neural network "unrolled" in time



## Deeper RNNs

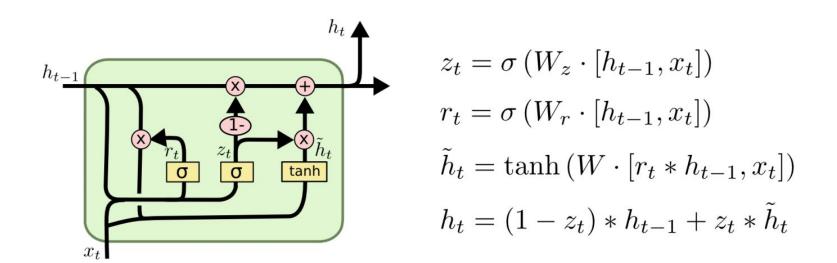


## **Bidirectional RNNs**



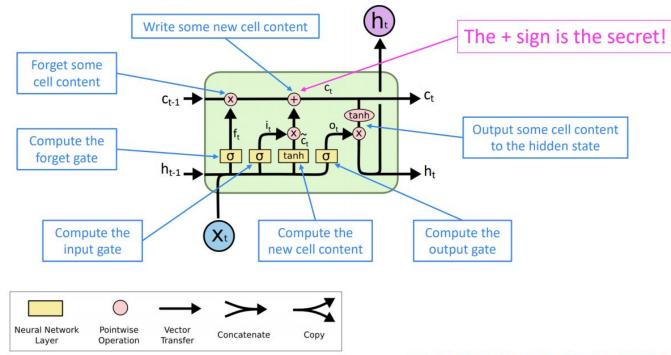
## Gated RNNs

Gated Recurrent Unit (GRU) [Chung et al. 2014, Cho et al. 2014]



## Gated RNNs

Long Short-Term Memory (LSTM) [Hochreiter and Schmidhuber 1997]



# Summary

- Recurrent Neural Networks: tailored for processing sequential data
- RNN Applications:
  - Sequence Classification
  - Language Modeling (GPT3 is language model!)
- RNN Variants
  - Deeper / Bi-directional RNNs
  - Gated RNNs